

NEW YORK UNIVERSITY
Robert F. Wagner Graduate School of Public Service

URPL-GP 2660 : History and Theory of Planning

Fall 2017

Section 001: Tuesday 9:00am – 10:40am [Bobst LL141]

Section 002: Tuesday 6:45pm – 8:25pm [GCASL 288]

Office Hours: After class and by appointment

Atul Pokharel
pokharel@nyu.edu

Note: This version of the syllabus should not be regarded as final. Please refer to the course website for the final outline and content of the class.

Course Description

Rare is the graduate student who hasn't experienced the pairing of means to ends, linking processes to outcomes, reconciling multiple objectives and making plans in general. The planning that we are concerned with in this course involves groups of people living together in space, becoming more or less interdependent, relying on shared resources and relating past experiences to present needs to desires for the future. This *collective exercise of planning* encounters deep tensions: Why plan? Who is to plan? What is progress? What is justice? How is the public good to be reconciled with private desires? What is the public good and who defines it? How are conflicting values to be addressed? What are current needs? Which future should be aimed for? How is this future to be reached? How is it to be sustained? What happens when it disintegrates? How is the success of these efforts to be assessed? How can a plan be changed if the results are unexpected? Despite this, plans are regularly made, remade, implemented and evaluated. Cities are evidence of this.

Planners have approached these tensions in many ways throughout history, and their ideas have been influenced by their own practice, a range of other disciplines, their particular historical circumstances, and the institutions within which they have worked professionally. The *profession* of planning is premised on constructive answers to fundamental questions about planning *practice* including: Have planners accumulated a body of codified and tacit knowledge? Is this knowledge particular to specific situations, general enough to apply to different types of situations, or both? Is this knowledge unique to professional planners? Can one reconcile professional knowledge with local knowledge, personal ideals and institutional demands in public decision making? Are some planners more effective than others? The theories of planning that we encounter in this course mark influential attempts to grapple with these and other dilemmas.

In this course, we will begin to develop our own analytical perspectives through which to understand the history and theory of planning. We will orient ourselves in relation to classic ideas on institutions, organizations, individuals, groups and networks, justice in process and outcomes, human behavior and group rationality, the law, dissent, and professional ethics. Then, from our own analytical positions, we will critically analyze the ideas of major thinkers who have had a significant impact on urban form, institutions, and planning. Our topics include: Ebenezer Howard and the garden city; Daniel Burnham and

the metropolitan idea; Le Corbusier and the modernist city; Jane Jacobs and pedestrian-centered urbanism; Ian McHarg and environmental planning; Henri Lefebvre and the right to the city; John Turner and dweller controlled housing; Elinor Ostrom on governing the commons; Rexford Tugwell on planning as a fourth power; Amartya Sen on practical justice; and Donald Schon on reflective practice.

Finally, we will also explore the historical development of some common planning techniques, their analytical underpinnings and hidden assumptions. Our goal will be to understand why and how these tools are supposed to work. Our intention is to begin to develop an understanding of the conditions under which a planner's tool kit is adequate, or not, to deal with 21st- century urban issues. Our topics include: master plans, data surveys and the framing of planning as a social science, intelligent algorithms, urban design, institutional design, public policies, zoning and participatory planning. Through guest lectures we will also trespass on several sub-disciplines of planning including development planning, transportation planning and housing. This will expose students to the frontiers of planning research at Wagner and also give us a view of how these tools are currently used.

Please Note. This is a seminar-style course. Class sessions will largely be driven by your contributions to the discussion. The assignments are designed to give you a chance to develop your position on the readings, to articulate your thoughts, to subject them to scrutiny by peers, and to change them.

You are expected to read the texts carefully, grapple with the ideas they advocate and discuss them in class. For nearly everyone, this will mean reading the materials more than once, marking up and actively engaging with them. I strongly suggest reading in groups and asking each other questions outside of the classroom. Asking your colleagues to proofread your writing before you submit it can also be helpful.

Please bring your electronic devices to class and use them freely. You are responsible for the behavior of your machines. Please don't allow them to disrupt the class. It may be to your benefit – and to the benefit of your colleagues - to participate in the discussions without distraction: using devices without good reason can detract from the quality of discussion for the entire class.

Intended Learning Objectives

Students who complete the course will:

1. Develop an understanding of key ideas, authors and texts in the history of urban planning from the 19th century to the present
2. Gain the ability to position current planning ideas and theories in critical and historical context
3. Develop an understanding of common planning tools, their historical development, assumptions and mechanisms
4. Develop an understanding of the emergence of planning as a discipline and professional practice as well as some of the dilemmas of professional practice
5. Develop an understanding of common institutions and ideas of justice planners encounter in professional practice
6. Improve the ability to express thoughts cogently and persuasively in writing and to marshal evidence culled from research to support your arguments
7. Improve research skills
8. Improve the ability to articulate thoughts clearly and persuasively

Prerequisites

None.

Course Requirements

This course has the following requirements:

1. **Class Participation:** As a seminar class, the course depends on active and ongoing participation by all class participants. Participants are expected to read and discuss the readings on a weekly basis. That means coming prepared to engage the class with discussion questions and/or comments about the reading. You will be expected to have completed all the required readings before class to the point where you can be called on to critique or discuss any reading.
2. **Readings:** You will be assigned approximately 100-150 pages of reading a week. Some of the readings are denser than others and some are longer than others. So, please be sure to plan for this variation. The syllabus may change during the semester for reasons such as the availability of guest lecturers, so please check the class site for the latest course content. Note that the readings for a class will not change after 12 noon on the Wednesday before that class, unless there is an extraordinary circumstance.
3. **Writing Assignments 1 and 2:** You will write two guided essays during the semester. Details and grading rubric will be handed out in class.
4. **Final Paper:** You will write a substantial final research paper. Instructions and grading rubric will be handed out in class.
5. **Final Presentation and Presentation Skills Bootcamp:** You will be asked to give an in class presentation about your final paper project. To help you with this, you will also be required to attend a presentation skills “bootcamp” session. In a one hour Presentation Skills Bootcamp in October, Will Carlin, [Adjunct Professor](#) at Wagner, will provide students with an overview of the skills and format necessary to make a concise and compelling presentation. [Register](#) for one of the three October sessions. Attendance counts toward half of the Final Presentation grade. Details and a grading rubric will be handed out in class.

Class Participation

The following elements contribute to the grade for participation and preparation.

- Your comments demonstrate that you have come to class prepared and done the assigned reading.
- Your comments demonstrate that you have reflected on the assigned readings and how they relate to other readings.
- Your comments are germane to the class discussion. You respond to the dialogue and engage with the ideas of your classmates.
- You reflect on your experiences, actively trying to relate them to the readings and course themes.
- You are an attentive listener, taking in what your classmates say.
- You keep to the time limit in the presentation of your final paper, you communicate clearly and you respond effectively to questions.
- Attendance.

Written Assignments

The writing assignments will be posted under the “Assignments” tab on the classes site.

Some important dates

Assignment	Length (all inclusive)	Due Date
1	3-5 pages	9/19
2	5-7 pages	10/31
Presentation	3-5 minutes	12/4
3	10-12 pages	12/8

Submission Protocol for papers and responses

All papers should be submitted on the NYU Classes website. Please note the following formatting guidelines:

- Papers are due by 11:59pm on the due date. The late policy applies to late papers.
- Submit your paper as a PDF file. No other formats will be accepted.
- File Name: Your Surname-Paper-#.pdf (e.g. Surname-Paper-1.pdf)
- Paginate your paper
- Spacing is 1.5 or double, as you prefer
- Font is Times New Roman, 11pt.
- Margins should be 1 inch on all sides.

Grading Summary

15% Writing Assignment 1
25% Writing Assignment 2
30% Writing Assignment 3
10% Class Presentation and Presentation Skills Bootcamp
20% Class Participation

Grading is not curved and therefore your course grade does not depend on those of others in the class. This course will abide by the Wagner School’s general policy guidelines on incomplete grades, academic honesty, and plagiarism. It is the student’s responsibility to become familiar with these policies. All students are expected to pursue and meet the highest standards of academic excellence and integrity. Please familiarize yourself with the following guidelines:

Incomplete Grades: <http://wagner.nyu.edu/students/policies/incompletes>

Academic Honesty: <http://wagner.nyu.edu/students/policies>

Late Policy

Extensions will be granted only in case of emergency. This is out of fairness to those who have abided by deadlines, despite equally hectic schedules. Papers and assignments handed in late without extensions will be penalized one-third of a grade per day.

Special Accommodations

Special accommodations and services are available for students with hearing and visual impairments, mobility impairments, learning disabilities and attention deficit disorders, chronic illnesses, and psychological impairments. Please contact the [Moses Center for Students with Disabilities](#) for assistance.

Textbooks

There is no textbook for the class. All required readings will be provided in pdf form under “Resources” on the NYU classes website.

Sequence of Topics by Date

#	Date	Topic
1.	September 5	Should we plan and do we need a theory to do so?
2.	September 12	The idea of progress
3.	September 19	Urbanization, industrialization and beyond
4.	September 26	The pursuit of the ideal: comprehensive, incrementalist, pragmatic
5.	October 3	Planning, Discretion, Dissent and Informality: Is law a hindrance or help?
6.	October 10	The professional planner: reflective, specialist, generalist, communicative
7.	October 17	Algorithms, modeling, representation and storytelling
8.	October 24	Garden City, Growth Belts and Metropolitanism
9.	October 31	The right to the city, participation, self-built and incremental housing
10.	November 7	Planning as design: the modernist city, zoning and development controls
11.	November 14	Planning as governance: institutions, jurisdictions and scope
12.	November 21	Knowledge and power: expert, local, scientific and political
13.	November 28	Planning Theory and Practice : Looking Back and Ahead
14.	December 5	Final Presentations

Guest Speakers

Week 10.	Ingrid Gould Ellen
Week 11.	Mitchell Moss
Week 12.	Zhan Guo
Week 13.	Natasha Iskandar

Week 1. Should we plan and do we need a theory to do so?

Optional Readings [~ 22 pages]

Friedmann, John. 2003. “Why Do Planning Theory?” *Planning Theory* 2(1): 7–10.

Isserman, Andrew. 2014. “Dare to Plan: An Essay on the Role of the Future in Planning Practice and Education.” *TPR: Town Planning Review* 85(1): 9–18.

Sanyal, Bish. 2002. “Globalization, Ethical Compromise and Planning Theory.” *Planning Theory* 1(2): 116–23.

Week 2. The idea of progress

Required [~140 pages]

* Friedmann, John. 2011. The good city: in defense of utopian thinking. Chapter 8 in *Insurgencies: Essays in Planning Theory* by John Friedmann. London: Routledge, pages 144-163.

Ingham, Barbara. 1993. The Meaning of Development: Interactions Between "new" and "old" Ideas. *World Development* 21, no. 11 (November): 1803-1821.

* Robert Fishman. "Urban Utopias in the Twentieth Century" in *Readings in Planning Theory*, pp. 27-51.

Berlin, Isaiah. 1991. The Pursuit of the Ideal. In *The Crooked Timber of Humanity: Chapters in the History of Ideas*, ed. Henry Hardy, 1-21. 1st ed. New York: Knopf.

* Sen, Amartya. 2009. *The Idea of Justice*. Cambridge, Mass: Belknap Press of Harvard University Press. Pages 31-86.

Recommended

Mazlish, Bruce. 1963. "The Idea of Progress." *Daedalus* 92(3): 447-61.

Tridib Banerjee, "U.S. Planning Expeditions to Postcolonial India: From Ideology to Innovation in Technical Assistance," *Journal of the American Planning Association*, 75:2 (Spring 2009), 193-208.

Myrdal, Gunnar. 1968. Chapter 15: The Spread and Impact of the Ideology of Planning. In *Asian Drama; an Inquiry into the Poverty of Nations*. New York: Twentieth Century Fund.

Neoliberalism 'with Chinese Characteristics" in *A Brief History of Neoliberalism*, 1961, pp. 1-4, 120-151.

Dethier, Jean. "Evolution of Concepts of Housing, Urbanism, and Country Planning in a Developing Country: Morocco, 1900-1972" in Carl Brown, ed., *From Medina to Metropolis*, 1961, pp. 197 - 242.

Michele Lamprakos. "Le Corbusier and Algiers: the Plan Obus as Colonial Urbanism" in Nezar AlSayyad, ed., *Forms of Dominance: On the Architecture and Urbanism of the Colonial Enterprise*, 1992, pp. 183-210.

Lewis Mumford. "Citizen Versus Ideal City" and "Hellenistic Absolutism and Urbanity" in *The City in History*, 1961, pp. 158-200. 201-204.

Escobar, Arturo. 1992. Planning. In *The Development Dictionary: A Guide to Knowledge as Power*, ed. Wolfgang Sachs, 132-45. London: Zed Books.

Berlin, Isaiah. 1969. Two Concepts of Liberty. In *Four Essays on Liberty*, 118-145. London: Oxford University Press.

Week 3. Urbanization, Industrialization and beyond

Required [~86 pages]

* Harvey, David. 2009. Excerpts from “Social Processes and Spatial Form” and “Social Justice and Spatial Systems.” In *Social Justice and the City*, Athens: University of Georgia Press, p50-56, p 96-101

* Prienne, Henri. “City Origins” and “Cities and European Civilization” Excerpted in *The City Reader* 6th Edition.

* Peter Hall. “The City of Dreadful Night” in *Cities of Tomorrow*, 1988 (1996 Edition), pp. 13-46.

Goldman, Emma. 1910. “Woman Suffrage.” In *Anarchism and Other Essays*, New York: Mother Earth Publishing Association.

* Samuel Bass Warner “Evolution and Transformation: The American Industrial Metropolis, 1840-1940” Excerpted in *The City Reader*, 6th Edition

Robert Beauregard, “Between modernity and postmodernity: The ambiguous position of US planning.” *Environment and Planning D: Society & Space* 7 (1989), 381-395.

Recommended

Frederick Engels. “How The Bourgeoisie Solves The Housing Question” in *The Housing Question*, 1872 (1975 Edition), pp. 40-74.

Gwendolyn Wright, “Americanization and Ethnicity in Urban Tenements” in *Building the Dream: A Social History of Housing in America*. MIT Press, 1991. p. 113-134 Susan Wirka.

“The City Social Movement: Progressive Women Reformers and Early Social Planning,” in Mary Corbin Sies and Christopher Silver, eds. *Planning the Twentieth Century American City*, 1996. pp. 55-75

David Harvey. “The Building of the Basilica Sacré-Cœur” in *Paris: the Capital of Modernity*, 2003, pp. 305-333.

Corburn, Jason. 2012. “Reconnecting Urban Planning and Public Health.”
<http://www.oxfordhandbooks.com/view/10.1093/oxfordhb/9780195374995.001.0001/oxfordhb-9780195374995-e-20> (August 30, 2017).

LeGates and Stout “Early Urban Planning 1870 – 1950” volume 9

Week 4. The pursuit of the ideal: Comprehensive, Incrementalist, Pragmatic

Required Readings

* Rein, M., and D. Schon. "Frame-Critical Policy Analysis and Frame-Reflective Policy Practice." *Knowledge, Technology & Policy* 9.1 (1996): 85-104.

* Hoch, Charles. 2012. *Making Plans in Oxford Handbook of Urban Planning*. eds. Rachel Weber and Randy Crane. New York: Oxford (forthcoming). Pages 389-412.

* Innes, Judith E. 1996. Planning Through Consensus Building: A New View of the Comprehensive Planning Ideal. *Journal of the American Planning Association* 62, no. 4: 460.

* Black, Alan. "The Chicago Area Transportation Study: A Case Study in Rational Planning," *Journal of Planning Education and Research*, 10:1 (Spring 1990), 27-37.

Rawls, John. 2001. *Justice as Fairness*. Harvard University Press. Pages 1-5.

Fainstein, Susan S. 2010. *The Just City*. Ithaca, N.Y.: Cornell University Press. Pages 57-86

Recommended

Lindblom, Charles E. 1959. The Science of "Muddling Through". *Public Administration Review* 19, no. 2 (Spring): 79-88.

Altshuler, Alan. 1965. The Goals of Comprehensive Planning. *Journal of the American Institute of Planners* 31, no. 3: 186 - 195.

Sanyal, Bishwapriya. 2005. Planning as Anticipation of Resistance. *Planning Theory* 4(3). Pages 225-245.

Friedmann, John. 1965. A Response to Altshuler: Comprehensive Planning As a Process. *Journal of the American Planning Association* 31, no. 3: 195 - 197.

Week 5. Planning, Discretion, Dissent and Informality: Is law a hindrance or help?

Required [-140 pages]

Davidoff, Paul. 1965. Advocacy and Pluralism in Planning. *Journal of the American Planning Association* 31, no. 4: 331 - 338.

Peñalver, Eduardo Moisés, and Sonia K Katyal. 2010. Chapters 8 and 9. In *Property Outlaws: How Squatters, Pirates, and Protesters Improve the Law of Ownership*, New Haven: Yale University Press. Pages 125-168.

King Jr, Martin Luther. 1963. "Letter from Birmingham Jail (1963)." *U.C. Davis Law Review* 26. Page 835.

White, Monica M. 2011. "Sisters of the Soil: Urban Gardening as Resistance in Detroit."

Sunstein, Cass R. 2003. Chapter 2 and Conclusion. In *Why Societies Need Dissent*. Cambridge, Mass: Harvard University Press.

Nozick, Robert. 2013. *Anarchy, State, and Utopia*. New York: Basic Books, a member of the Perseus Books Group. pp. Xi-xvii (Foreword by Thomas Nagel)

Mahoney, James, and Kathleen Ann Thelen. 2010. "A Theory of Gradual Institutional Change." In *Explaining Institutional Change: Ambiguity, Agency, and Power*, eds. James Mahoney and Kathleen Ann Thelen. Cambridge ; New York, N.Y.: Cambridge University Press, 1-37.

Recommended

Ellickson, Robert C. 2002. Order without Law: how neighbors settle disputes. In *Perspectives on Property Law*, ed. Robert C Ellickson, Carol M Rose, and Bruce A Ackerman. 3rd ed. New York: Aspen Law & Business. Pages 210-221.

Faranak Miraftab. 2009. "Insurgent Planning: Situating Radical Planning in the Global South" *Planning Theory* 8:1, 32-50.

Tarrow, Sidney G. 1994. Introduction. In *Power in Movement: Social Movements, Collective Action, and Politics*, 1-28. Cambridge [England]: Cambridge University Press.

Peattie, Lisa R. 1968. Reflections on Advocacy Planning. *Journal of the American Planning Association* 34, no. 2: 80 – 88.

Sandercock, Leonie. 1998. Framing Insurgent Historiographies for Planning. In *Making the Invisible Visible: A Multicultural Planning History*, ed. Leonie Sandercock, 1-33. Berkeley: University of California Press.

Vinit Mukhija, "Outlaw In-Laws: Informal Second Units and the Stealth Reinvention of Single-Family Housing," in Vinit Mukhija and Anastasia Loukaitou-Sideris, eds. *The informal American city: from taco trucks to day labor* (MIT Press, 2014).

Mike Davis. "Planet of Slums: Urban Involution and the Informal Proletariat" in *New Left Review*, 2004, #26, pp. 5-34.

Christopher, A. J. 1987. "Apartheid Planning in South Africa: The Case of Port Elizabeth." *The Geographical Journal* 153 (2): 195-204.

Rose, Carol. 1986. "The Comedy of the Commons: Custom, Commerce, and Inherently Public Property." *The University of Chicago Law Review*: 711-81.

Week 6. The Professional Planner: Reflective, Specialist, Generalist, Communicative

Required [~135 pages]

Ryan, Brent D. 2011. "Reading Through a Plan." *Journal of the American Planning Association* 77(4): 309–27.

Eugénie L. Birch and Christopher Silver, "One Hundred Years of City Planning's Enduring and Evolving Connections," *Journal of the American Planning Association*, 75:2 (2009), 113-122.

Hoch, C. "Doing Good and Being Right the Pragmatic Connection in Planning Theory." *Journal of the American Planning Association* 50.3 (1984): 335-45.

Patsey Healey. 1992. "Planning Through Debate: The Communicative Turn in Planning Theory," *Town Planning Review*, Vol. 63(2): 143-162.

Soja, Edward W. 2010. *Seeking Spatial Justice*. Univ Of Minnesota Press. pp. 31-110

Recommended

Wildavsky, Aaron. 1973. If Planning Is Everything, Maybe It's Nothing. *Policy Sciences* 4, no. 2 (6): 127-153.

Howell Baum, "Planning and the problem of evil," *Planning Theory* 10 (May 2011), 103-123. L.

Alexander, Ernest R. 1981. If Planning Isn't Everything, Maybe It's Something. *The Town Planning Review* 52, no. 2 (April): 131-142.

John Friedmann. 1987. "Two Centuries of Planning Theory," in: *Planning in the Public Domain: From Knowledge to Action*. Princeton: Princeton University Press, pp. 51-85.

Patsy Healy, "Communicative Planning: Practices, Concepts, and Rhetorics" in B. Sanyal, et. al., *Planning Ideas That Matter: Livability, Territoriality, Governance, and Reflective Practice* (Cambridge, MA: MIT).

Susskind, Lawrence E. 2008. Consensus Building, Public Dispute Resolution, and Social Justice. *Fordham Urban Law Journal* 35: 185.

Flyvbjerg, Bent. 1998. Power Has a Rationality That Rationality Does Not Know. In *Rationality and Power: Democracy in Practice*, 225-36. Chicago: University of Chicago Press.

Marcuse, Herbert, Robert Paul Wolff, and Barrington Moore Jr. 1969. *Repressive Tolerance*. In *A Critique of Pure Tolerance*, 95-137. Boston: Beacon Press.

Week 7. Algorithms, Modeling, Representation and Stories

Required [~118 pages]

Lisa Peattie. 1987. "A Planned City," "Models and Motivations," and "Representation" in *Planning: Rethinking Ciudad Guayana*, p.7-21, 23-40, 111-152.

Pokharel, Atul. "Planning with Machines: machine learning, artificial intelligence and big data in public agencies" [Article Manuscript]

Throgmorton, James A. 1992. *Planning as Persuasive Storytelling About the Future: Negotiating an Electric Power Rate Settlement in Illinois*. *Journal of Planning Education and Research* 12, no. 1 (October 1): 17-31.

Chapter 3, "Identity, Difference and the Geographies of Working Poor Women's Survival Strategies", by Melissa Gilbert, in *Gendering the City*, eds, Miranne, K. B., and Young, A. Y. 2000. Rowman and Littlefield Publishers, Inc. Pages 65-89

Fainstein, Susan S. 2010. "Toward an Urban Theory of Justice." In *The Just City*. Ithaca: Cornell University Press. Pages 1-22

Recommended

Robert Fishman "The Rise of the Technoburb"

Beauregard, Robert. 2003. "Democracy, Storytelling and the Sustainable City" in Eckstein, B. and James Throgmorton (eds). *Story and Sustainability: Planning, Practice and Possibility for American Cities*. Cambridge: MIT Press. Pp. 64-77

Week 8. Garden City, Growth Belts and Metropolitanism

Required [~150 pages]

Ebenezer Howard, *Garden Cities of To-morrow* (orig. pub. 1898; Cambridge, Mass.: MIT Press, 1965). Introduction by Lewis Mumford, pp. 46-49 (on the "Three Magnets"). Chapters 1-4, Pages 50-81.

Robert Fishman, "The Metropolitan Tradition in American Planning," in Robert Fishman, ed., *The American Planning Tradition. Culture and Policy* (Washington, D.C./Baltimore: Johns Hopkins University Press, 2000), pp. 65-85.

St. Clair Drake and Horace R. Cayton. "Midwest Metropolis" and "The Black Ghetto" in *Black Metropolis: A Study of Negro Life in a Northern City, 1945*, pp. 3-29, 174-213.

Fainstein, Susan S. 2010. "Philosophical Approaches to the Problem of Justice." In *The Just City*. Ithaca: Cornell University Press. Pages 22-56

Recommended

Daniel Burnham and Edward Bennett, *Plan of Chicago* (Chicago, 1909; reprint N.Y., 1993). Introduction; Chaps. 1, 7, and 8. For online version:
www.encyclopedia.chicagohistory.org/pages/10417.html.

William Julius Wilson, "Studying Intercity Social Dislocation" in *American Sociological Review*, 1991, Vol 56, 1, pp. 1-14.

Week 9. The Right to the City, Participation, Self-Built and Incremental Housing

Required [~153 pages]

Arnstein, Sherry R. 1969. A Ladder of Citizen Participation. *Journal of the American Institute of Planners*, 35(4). Pages 216 – 224.

Henri Lefebvre, "The Right to the City," *Writings on Cities*, trans. and eds. Eleonore Kofman and Elizabeth Lebas (Malden, Mass.: Blackwell, 1996; *Le Droit à la ville* orig. pub. 1968), pp. 147-59.

John F. C. Turner, "The Reeducation of a Professional," and "Housing as a Verb" in "*Freedom to Build. Dweller control of the housing process*, John F. C. Turner and Robert Fichter, eds. (New York: Collier Macmillan, 1972), Chaps. 6-7. Pages 122-147 and Pages 148-175.

TED Talk: Alejandro Aravena, "My architectural philosophy? Bring the community into the process,"
http://www.ted.com/talks/alejandro_aravena_my_architectural_philosophy_bring_the_community_into_the_process?share=11ed137d5d

Neil Brenner, Peter Marcuse and Margit Mayer "Chapter 1" in *Cities for People, not for Profit: Critical Urban Theory and the Right to the City*. Neil Brenner, Peter Marcuse and Margit Mayer (eds.) New York and London: Routledge, 2011, 1-11.

Harvey, David. 2012. "The Right to the City" and "The Creation of the Urban Commons." *Rebel Cities: From the Right to the City to the Urban Revolution*. New York: Verso. Pages 3-25 and 67-88

Recommended

Fung, Archon. 2006. "Varieties of Participation in Complex Governance." *Public Administration Review* 66: 66-75.

Marcuse, Peter. 2009. "From Critical Urban Theory to the Right to the City" *City* 13: 2-3, 185-197.

Liza Weinstein, *The Durable Slum. Dharavi and the Right Stay Put in Globalizing Mumbai* (Minneapolis: University of Minnesota Press, 2014).

Rachel Bratt and Kenneth M. Reardon. "Beyond the Ladder: New Ideas about Resident Roles in Contemporary Community Development in the United States," in Naomi Carmon and Susan S. Fainstein, *Policy, Planning, and People: Promoting Justice in Urban Development* (Penn, 2013).

Week 10. Planning as Design: The Modernist City, Density, Zoning and Development Controls

Required [~ 136 pages]

(I recommend you read these texts in the order listed.)

Le Corbusier, *The City of Tomorrow and Its Planning* (translation of *Urbanisme* [Paris, 1924]; New York: Dover, 1987). Chapter 7 and 11. 84-106, 163-180,

Jane Jacobs, *The Death and Life of Great American Cities* (New York: Knopf/ Doubleday, 1992 [orig. pub., 1961]), Chapters 7-11. Pages 143-221

Christopher Silver, "The Racial Origins of Zoning in American Cities," in June Manning Thomas and Marsha Ritzdorf, eds., *Urban Planning and the African American Community*. Thousand Oaks, Ca.: Sage, 1997, pp. 23-42. [PDF posted under Resources]

Recommended

Lang, Jon. 2000. Learning From Twentieth Century Urban Design Paradigms: Lessons for the Early Twenty First Century. In *Urban planning in a changing world: the twentieth century experience*, ed. Robert Freestone. New York: Routledge. Pages 78-95.

Keith D. Revell, "Regulating the Landscape: Real Estate Values, City Planning and the 1916 Zoning Ordinance," in David Ward and Olivier Zunz, eds., *The Landscape of Modernity. Essays on New York City*. N.Y.: Russell Sage Foundation, 1992, pp. 19-45. [PDF posted under Resources]

Jonathan Barnett, "Shaping Cities through Development Regulations," in *The Urban and Regional Planning Reader*, ed. Eugenie L. Birch (London/New York: Routledge, 2009), pp. 216-225.

Jonathan Barnett, *Urban Design as Public Policy*. New York: Architectural Record, 1974, Chaps. 1-2. [PDF posted under Resources]

Frank Lloyd Wright: "Broadacre City" excerpted in *The City Reader* (Le Gates and Stout, eds.).

Thomas J. Campanella, "Jane Jacobs and the Death and Life of American Planning," in *Reconsidering Jane Jacobs*, eds. Max Page and Timothy Mennel (Chicago/Washington, D.C.: American Planning Association Planners Press, 2011), pp. 141-60, 178-9.

Krieger, Alex. 2000. The Planner as Urban Designer. In *The Profession of City Planning: Changes, Images and Challenges: 1950-2000*, ed. Llyod Rodwin and Bishwapriya Sanyal. New Jersey: Center for Urban Policy Research. Pages 207-209.

Gunder, M., 2011. Commentary: Is Urban Design Still Urban Planning? An Exploration and Response, *Journal of Planning Education and Research*. 31(2). Pages 184-195.

Sorkin, Michael. 2009. The End(s) of Urban Design. In *Urban Design*, ed. Alex Krieger and William S Saunders. Minneapolis: University of Minnesota Press. Pages 155-182.

William H. Whyte "The Design of Spaces"

Week 11. Planning as governance: Institutions, jurisdiction and scope

Required [~ 140 pages]

Gans, Herbert, J. 1968. Urban Vitality and the Fallacy of Physical Determinism. In *People and Plans: Essays on Urban Problems and Solutions*. New York: Basic Books. Pages 25-33.

Benkler, Yochai. 2014. "Between Spanish Huertas and the Open Road: A Tale of Two Commons?" In *Governing Knowledge Commons*, eds. Brett M. Frischmann, Michael J. Madison, and Katherine Jo Strandburg. Oxford University Press, 69–98.

Kropotkin, Petr Alekseevich. 1916. Conclusion in *Mutual Aid, a Factor of Evolution*. New York: Knopf.

Putzel, James. 1997. "POLICY ARENA: Accounting for the 'dark Side' of Social Capital: Reading Robert Putnam on Democracy." *Journal of International Development* 9(7): 939–49.

Ostrom, Elinor. 2010. "Beyond Markets and States: Polycentric Governance of Complex Economic Systems. Prize Lecture, December 8, 2009." *American Economic Review* 100(3): 641–72.

Tugwell, Rexford.G. 1975. The Fourth Power. In *Tugwell's Thoughts on Planning*, ed. Salvador Padilla, 1st ed. Puerto Rico: University of Puerto Rico Press. Pages 149-186

Recommended

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Martha Biondi. "Robert Moses, Race and the limits of an Activist State" in Hilary Ballon and Kenneth Jackson eds, *Robert Moses and the Modern City*, 2007, pp. 116-121.

Ostrom, Elinor. 1990. *Governing the Commons*. Pages. 1-28

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Frug, Gerald and David J. Barron. 2008. *City Bound: How States Stifle Urban Innovation*, Ithaca: Cornell University Press. Pages 60-74.

Tugwell, R. G., and E. C. Banfield. 1951. Governmental Planning at Mid-Century. *The Journal of Politics* 13, no. 2 (May): 133-163.

Schlomo Angel "Planning for a Planet of Cities"

Barron, James, and Vivian Wang. 2017. "They Have a Say Over the Subways, From Hundreds of Miles Away." *The New York Times*. <https://www.nytimes.com/2017/08/29/nyregion/state-lawmakers-subway-funding.html> (August 30, 2017).

Das, Ashok K. and Lois M. Takahashi. 2009. "Evolving Institutional Arrangements, Scaling Up, and Sustainability: Emerging Issues in Participatory Slum Upgrading in Ahmedabad, India." *Journal of Planning Education and Research* 29, 2 (December): 213-232.

Ostrom, Elinor. 2012. "Nested Externalities and Polycentric Institutions: Must We Wait for Global Solutions to Climate Change before Taking Actions at Other Scales?" *Economic Theory* 49(2): 353-69.

Week 12. Knowledge and Power: Expert and Local, Scientific and Political

Required [~ 100 pages]

Ian L. McHarg, *The Essential Ian McHarg. Writings on Design and Nature*, ed. Frederick R. Steiner (Washington, D.C.: Island Press, 2006), "Man and Environment (1963)" and "The Place of Nature in the City of Man (1964), pp. 1-29. [PDF posted under Resources]

Scott Campbell, "Green Cities, Growing Cities, Just Cities? Urban Planning and the Contradictions of Sustainable Development," *Journal of the American Planning Association* 62/3 (Summer 1996), pp. 296-312. [PDF posted under Resources]

Brown, Jeffrey. "From Traffic Regulation to Limited Ways: The Effort to Build a Science of Transportation Planning," *Journal of Planning History* 5/1 (Feb. 2006), 3-34. [PDF posted under Resources]

Hayek, Friedrich A. von. 1944. Chapters 4 and 9. In *The Road to Serfdom*. Chicago: The University of Chicago Press.

Recommended

Chris Reed, "The Agency of Ecology," in *Ecological Urbanism*, ed. Mohsen Mostafavi and Gareth Doherty (Zurich: Harvard University/Lars Muller, 2013), pp. 324-29.

Brooks, Michael P. 2002. "Chapter 6: Centralized Rationality: The Planner as Applied Scientist." In *Planning Theory for Practitioners*, p. 81-96. Chicago, Ill: APA Planners Press.

Thomas L. Daniels, "A Trail Across Time: American Environmental Planning From City Beautiful to Sustainability," *Journal of the American Planning Association*, 75:2 (2009), 178-192.

Singer, Peter. 1975. *Animal Liberation: A New Ethics for Our Treatment of Animals*. New York: New York Review : Distributed by Random House.

Robert Bruegmann "The Causes of Sprawl"

M. Nelson, R. Ehrenfeucht, S. Laska, Planning, plans and people: Professional expertise, local knowledge and government action in post-hurricane Katrina New Orleans, *Cityscape* 9 no. 3: 23-52

Week 13. Planning Theory and Practice : Looking Back and Ahead

Required [~ 100 pages]

M.M. Webber and H. Rittel, "Dilemmas in a General Theory of Planning," *Policy Sciences* 4 (1973), 155-169.

Vanessa Watson. 2009. "Seeing from the South: Refocusing Urban Planning on the Globe's Central Urban Issues" *Urban Studies* 46, 2259-2275.

Thomas, June Manning. 1998. Racial Inequality and Empowerment: Necessary Theoretical Constructs for Understanding US Planning Theory. In *Making the Invisible Visible: A Multicultural Planning History*, ed. Leonie Sandercock, 198-208. Berkeley: University of California Press.

Fawaz, Mona. 2016. "Planning and the Refugee Crisis: Informality as a Framework of Analysis and Reflection." *Planning Theory*. p 99-115.

Schon, Donald A. 1995. "Educating the Reflective Legal Practitioner." *Clinical Law Review* 2: 231-50.

Mitchell, Luke. 2006. God Mode. *Harper's Magazine*, August.

Dolores Hayden, "What would a non-sexist city be like? Speculations on housing, urban design and human work," in Susan Fainstein and Lisa Servon eds., *Gender and Planning: A Reader*. New Brunswick: Rutgers University Press, 2005, 47-66.

Recommended

Brooks, Michael P. 2002. The Critical Role of Values and Ethics. In *Planning Theory for Practitioners*. Chicago, Ill: Planners Press, American Planning Association.

Hudson, Barclay M., Thomas D. Galloway, and Jerome L. Kaufman. 1979. Comparison of Current Planning Theories: Counterparts and Contradictions. *Journal of the American Planning Association* 45, no. 4: 387.

Friedmann, John. 1993. Toward a Non-Euclidian Mode of Planning. *Journal of the American Planning Association* 59, no. 4: 482.

Perloff, Harvey S. 1985. Education of City Planners: Past and Present. In *The Art of Planning: Selected Essays of Harvey S. Perloff*, ed. Leland Smith Burns and John Friedmann, 261-98. New York: Plenum Press.

Ozawa, Connie P., and Ethan P. Seltzer. 1999. Taking Our Bearings: Mapping a Relationship Among Planning Practice, Theory, and Education. *Journal of Planning Education and Research* 18, no. 3 (March 1): 257-266.

Marcuse, Peter. 1984. Professional Ethics and Beyond: Values in Planning. In *Ethics in Planning*, ed. Martin Wachs, 3-24. New Brunswick, N.J.: Center for Urban Policy Research.

Harvey, David. 1978. On Planning the Ideology of Planning. In *Planning Theory in the 1980s: A Search for Future Directions*, ed. Robert W Burchell and David Listokin. New Brunswick, N.J.: Center for Urban Policy Research, Rutgers University

Wachs, Martin. 1984. Ethical Dilemmas in Forecasting for Public Policy. In *Ethics in Planning*, ed. Martin Wachs, 246-258. New Brunswick, N.J.: Center for Urban Policy Research.

Hoch, Charles. 1995. Teaching Ethics and Planning Theory. In *Planning Ethics: A Reader in Planning Theory, Practice, and Education*, ed. Sue Hendler, 281-300. New Brunswick, N.J.: Center for Urban Policy Research.

Week 14. Final Presentations

Required [~35 pages]

Re-read Friedmann, John. 2011. The good city: in defense of utopian thinking. Chapter 8 in *Insurgencies: Essays in Planning Theory* by John Friedmann. London: Routledge, pages 144-163.

Myrdal, G. (1968), 'The necessity and difficulty of planning the future society', in W. Ewald, Jr. (ed.), *Environment and Change: The Next Fifty Years*, Bloomington, IN, Indiana University Press, 250-63.