



**NYU**

**ROBERT F. WAGNER GRADUATE  
SCHOOL OF PUBLIC SERVICE**

## **URPL-GP 2665: Decentralized Development Planning in Developing Countries**

**Spring 2024**

### **Instructor Information**

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  - Office Hours Tuesday, 3:00-5:00 pm or by appointment (in-person or virtual)

### **Course Information**

- Class Time: Mondays, January 22 –March 11, 4:55-6:35 p.m.
- Class Location: 12 Waverly Place, Room L114

### **Course Description**

The last several decades have witnessed a global proliferation of public sector restructuring, decentralization, and democratization in developing countries. Traditional development planning has adapted (unevenly) as these trends have unfolded. This course presents an overview of the evolution of planning theory and practice in developing countries with a focus on subnational governments. Although there is some consensus on universal planning norms and processes, the structure and performance of a viable planning system depend heavily on the economic, political, institutional, and cultural context of a country. The course outlines and assesses planning approaches and systems, and reviews how developing countries and international development partners have tried to build decentralized planning. The overall focus is on how local planning systems and processes can be strategically designed and implemented to work effectively in different contexts. Case studies and exercises based on them are an integral part of the course.

The course has three sections. The first two focus on how planning evolved with global economic and political dynamics, development theory, and public sector norms. The third considers how the field has attempted to take a more integrated and strategic approach to supporting the development of planning systems. Collectively they consider the policy, institutional and technical opportunities and challenges that subnational development planners encounter in decentralizing country contexts.

- **Section 1** reviews the evolution of development planning in response to changes in global conditions and development thinking.
- **Section 2** explores how public sector reform, democratization and decentralization reshaped systems for the planning and financing of subnational development.
- **Section 3** considers the strategic turn taken by integrated development and spatial planning in which subnational governments play a broader developmental role and adopt related reforms of traditional planning processes.

## Course Objectives

By the end of the course participants will:

1. **Understand the evolution of the theory and practice of development planning** and related public sector systems and procedures in developing countries.
2. **Be familiar with common types of development planning systems** and efforts to decentralize planning processes and improve governance and civic engagement.
3. **Have a basic understanding of efforts to pursue a more strategic integrated approach to local planning** in developing countries.
4. **Be aware of the approaches of international development partners** to supporting more effective local development planning.

## Course Format

This 1.5 credit course will meet weekly except for February 19<sup>th</sup> (Presidents Day holiday) up until and including March 11<sup>th</sup>. The format will blend lectures, in-class exercises, and discussion. Class participation is encouraged. One assignment will require group work, but most are individual.

## Course Readings

There are **no required textbooks**. In part this is because the literature is fragmented--some readings are more conceptual, while others are more technical; some are general and others are specific to industrialized or developing countries; some focus planning institutions, while others center on planning processes; certain concepts, approaches and techniques are relatively new, while others have been around for a long time (although they may have evolved considerably).

The syllabus includes **selected readings that cover basic elements of planning**. They are a mix of older classics and more recent work by academics and practitioners in planning, social science, and public management. **Given the overview nature of the course and the field's breadth, the readings are not comprehensive**—they are only intended to illustrate the literature. **They are grouped as primary (required primary readings are marked with \*) and secondary. Most are posted on Brightspace** (except full books that could not be uploaded).

**You should be aware that the readings vary in quality and complexity, and connections among some of them may not always seem obvious.** We try to synthesize the key material during class and often cover issues not directly covered in readings, and we **provide a PowerPoint after each class summarizing the most important material**. Given the lack of a textbook, it is important for you to check in with me or a classmate on what was covered if you are unable to attend class.

**Although there is no textbook, I posted on Brightspace a useful volume prepared for Habitat III** by the Inter-American Development Bank, UN-Habitat and the Development Bank of Latin America: D. Gomez-Alvarez, R. Rajack, E. Lopez-Moreno and G. Lanfranchi, eds. *Steering the Metropolis: Metropolitan Governance for Sustainable Development*. (Washington, DC: Inter-American Development Bank, 2017). It contains chapters on topics relevant for the course and a range of case studies of particular cities around the world that may be of interest to some of you.

## Course Requirements

The grade will be based on the following requirements:

Requirement	Distributed	Due	Weight
Exercise 1 (Team)	January 29	February 9	20%
Midterm Exam (Individual)	February 12	March 1	30%
Final Paper (Individual)	February 26	March 29	40%
Class Attendance and Participation			10%

The exercises variously involve a mixture of questions to be answered and analysis of policy situations. Details on each requirement will be provided in class.

Active class participation means attending class prepared to ask questions and to engage in thoughtful and reflective discussion. The attendance requirement is subject to the [NYU Policy on Religious Holidays](#), which allows members of any religious group to absent themselves from classes without penalty to comply with religious obligations. Please let me know in advance of religious or other absences so that I can help you deal with any material you might miss.

Please note *that extensions on assignment due dates will be granted only if requested in advance for well justified reasons*. This policy is done out of respect to those who abide by deadlines, despite having complex schedules. Assignments handed in late without notice may be subject to penalty.

## Academic Integrity and Grading Policy

The course will abide by the NYU Wagner School policy guidelines on academic integrity and grading. It is each student's responsibility to become familiar with these policies. All Wagner students have already read and signed the [Wagner Academic Oath](#) and are expected to pursue and meet the highest standards of academic excellence and integrity. Plagiarism will not be tolerated and students are expected to report violations to me. If any student in this class is unsure about what is expected of you and how to abide by the academic code, you should consult with me. Please see the NYU Wagner Student Portal for detailed information on the academic code and grading policy.

- [Academic Code](https://wagner.nyu.edu/portal/students/policies/code) (https://wagner.nyu.edu/portal/students/policies/code)
- [Grading](https://wagner.nyu.edu/portal/students/policies/grading) (https://wagner.nyu.edu/portal/students/policies/grading)

## Henry and Lucy Moses Center for Students with Disabilities at NYU

Academic accommodations are available for students with disabilities. Please visit the [Moses Center for Students with Disabilities \(CSD\) website](#) and click on the Reasonable Accommodations and How to Register tab or call or email CSD at (212-998-4980 or [mosescsd@nyu.edu](mailto:mosescsd@nyu.edu)) for information. Students who wish to request academic accommodations are strongly advised to reach out to the Moses Center as early as possible in the semester for assistance.

# **URPL-GP 2665: Decentralized Development Planning in Developing Countries**

## **Course Schedule Spring 2024**

### **I. OVERVIEW OF DEVELOPMENT PLANNING AND KEY PLANNING ISSUES IN DEVELOPING COUNTRIES (1/22-1/29)**

1. The Evolution of Development Planning Paradigms and Cultures (1/22)
2. The Rural-Urban Debate in Development Planning (1/29)

**Exercise 1 (Team) distributed January 29: Due February 9**

### **II. DECENTRALIZATION AND THE TRANSFORMATION OF MULTI-LEVEL PLANNING AND FINANCING SYSTEMS (2/5-2/26)**

**(No Class on 2/19--Presidents Day Holiday)**

1. Decentralization and Local Government (2/5)
2. Multilevel and Local/Urban Planning: Institutional Design and Reality (2/12)
3. Urban and Metropolitan Governance and Finance (2/26)

**Midterm Exam (Individual) distributed February 12: Due March 1**

### **III. STRATEGIC INTEGRATED DEVELOPMENT PLANNING AND BUILDING DEVELOPMENT PLANNING SYSTEMS (3/4-3/11)**

1. An Integrated Territorial Approach to Local Development Planning (3/4)
2. Approaches to Building Decentralized Planning Systems (3/11)

**FINAL ASSIGNMENT (Individual): Due March 29**

# URPL-GP 2665: Decentralized Development Planning in Developing Countries Spring 2024

## Outline and Reading List<sup>1</sup>

### I. OVERVIEW AND KEY DEVELOPMENT PLANNING ISSUES IN DEVELOPING COUNTRIES (January 22-29)

Prior to doing the other required readings, please have a quick look at the following two (very different) cases written by applied planning researchers from Zimbabwe and Bangladesh. You do not need to focus on details (we will look at detailed cases later), but please think about the main messages and questions that you believe are raised by the experiences of two Global South planners.

- \*S. Manyena. "Non-implementation of Development Plans and Participatory Action Research in Zimbabwe." *Planning Theory and Practice*. Vol. 14 (2014), pp. 315-332.
- \*M. Rahman. "Coordination of Urban Planning Organizations as a Process of Achieving Effective and Socially Just Planning: A Case of Dhaka, Bangladesh." *International Journal of Sustainable Built Environment*. Vol. 4 (2015), pp. 330-340.

For those who need or would like to have a concise review/refresher of international development thinking (especially for those without an international development background), you may wish to read this book chapter:

- \*S. Deverajan and R. Kanbur. "Development Strategy: Balancing Market and Government Failure." In B. Currie-Alder, Bruce, R. Kanbur, D. Malone and R. Medhora. *International Development: Ideas, Experience, Prospects*. (Oxford: Oxford University Press, 2014).

#### 1. The Evolution of Development Planning Paradigms and Cultures (January 22)

*This session concisely reviews the evolution of development planning in developing countries, following the transformation from a focus on economic growth to a broader development perspective; from dominance by technical analysis to a greater incorporation of political process; and from centralized to more decentralized planning. We also briefly consider the role of planning culture and the transferability of planning approaches across different contexts.*

#### **Primary Readings**

- \*D. Connell. "Schools of Planning Thought: Exploring Differences through Similarities." *International Planning Studies*. Vol. 15 (2010), pp. 269-280.
- \*P. Healey, "Traditions of Planning Thought," in *Collaborative Planning* (Vancouver: University of British Columbia Press, 1997), pp. 7-30.
- \*T. Killick, *The Possibilities of Development Planning*. *Oxford Economic Papers*, (1976), pp. 161-184.

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<sup>1</sup>Readings marked with an "\*" are on NYU Classes (priority readings with a red "\*\*"). Online links are marked with "\*\*\*".

- \*A. Todes. "Reinventing Planning: Critical Perspectives." *Urban Forum*. Vol. 22 (2011), pp. 115-133.
- \*A. Khakee. "Planning Dilemmas." *Planning Theory and Practice*. Vol. 21 (2019), pp. 175-181.
- \*\*A. Chimhowu, *New National Planning* ([short video](#)). Strategic Network on New National Planning in the Global South. Manchester: University of Manchester. 2018.

**Note:** *National Development Plans* for selected countries we discuss to varying degrees during the semester--Cambodia, Indonesia, Kenya, Mexico, the Philippines, South Africa, and Uganda--are in a sub-folder on Brightspace. You should look at a few to get a sense of what national plans look like.

### ***Supplementary Readings***

- \*B. Sanyal, et. al. "Hybrid Planning Cultures: Search for the Global Cultural Commons," in B. Sanyal, ed., *Comparative Planning Cultures* (London: Routledge, 2005), pp. 3-25.
- \*P. Healey, "The Universal and the Contingent: Some Reflections on the Transnational Flow of Planning Ideas and Practices." *Planning Theory*, Vol. 11 (2012), pp. 188-207.
- \*J. Friedman. "Encounters with Development Planning." *International Development Planning Review*. Vol. 31 (2009).
- \*K. Macdonald. "Challenging Theory, Changing Practice: Critical Perspectives on the Past and Potential of Professional Planning." *Planning Theory and Practice*, Vol. 15 (2014).
- \*B. Sanyal, "Four Planning Conversations." In *Planning Ideas that Matter: Livability, Territoriality, Governance and Reflective Practice*. (Cambridge, MA: MIT Press, 2012).
- \*N. Kudva and J. Forrester. "Wrestling with Context." Introduction to a discussion in *Planning Theory and Practice*. Vol 24 (2023).
- L. Rodwin and B. Sanyal, eds, *The Profession of City Planning: Changes, Images and Challenges* (New Brunswick, NJ: Rutgers Center for Urban Policy Research, 2000).
- P. Hall and M. Tewdwr-Jones, *Urban and Regional Planning*, (London: Taylor and Francis, 2010).
- D. Conyers and P. Hills, *An Introduction to Development Planning in the Third World* (Chichester: John Wiley and Sons, 1984).
- P. Healey, *Collaborative Planning* (Vancouver: University of British Columbia Press, 1997).
- \*R. Agarwala, *Planning in Developing Countries: Lessons of Experience*. Staff Working Paper No. SWP 576. Washington, DC: The World Bank, 1983.
- D. Rondinelli, *Development Projects as Policy Experiments: An Adaptive Approach to Development Administration* (London: Routledge, 1983).
- A. Hirschman, *Development Projects Observed* (Washington, DC: Brookings Institution, 1967).
- \*\*South Africa National Planning Commission. "[Our Future, Our Plan, Speak Out!](#)" Pretoria: [National Planning Commission](#). 2012.

## **2. The Rural-Urban Debate in Development Planning (January 29)**

*This session introduces a long-contested issue in development with considerable relevance for planning--the relative role of rural areas versus urban areas in promoting economic development and social. We take a brief look at some key issues and trends and note the growing re-emergence of interest in rural-urban linkages, secondary cities, and national urban strategies.*

## Primary Readings

- \*G. McGranahan and D. Satterthwaite. *Urbanization Concepts and Trends*. (London: International Institute for Environment and Development, 2014). (**Focus on actions 1, 4, 5.**)
- \*I. Turok. "Urbanization and Development: Reinforcing the Foundations." In V. Watson, G. Bhan and S. Srinivas, eds. *Planning in the Global South*. (London: Routledge, 2019).
- \*C. Tacoli. "Links Between Urban and Rural Development." *Environment and Urbanization*. Vol. 15 (2003).
- \*G. Jones and S. Corbridge, "The Continuing Debate about Urban Bias," in *Progress in Development Studies*, Vol. 10 (2010), pp. 1-18.
- \*F. Wegulo, "Rural-Urban Linkages," in M. Khayesi and F. N. Wegulo, eds. *Handbook of Urban Development Planning in Africa* (London: Palgrave Macmillan, 2022).
- \*United Nations. *The New Urban Agenda*. (New York, NY: United Nations, 2017).

## Supplementary Readings

- \*G. Duranton. "Growing through Cities in Developing Countries." *World Bank Research Observer*. Vol. 30 (2015), No. 1, pp. 40-73.
- \*UN-Habitat. *A New Generation of National Urban Policies*. (Nairobi: UN-Habitat, 2014).
- \*United Cities and Local Governments. *Co-Creating the Urban Future: The Agenda of Metropolises, Cities and Territories*. (Barcelona: UCLG, 2016).
- \*E. Belsky et. al. *Advancing Inclusive and Sustainable Urban Development: Correcting Planning Failures and Connecting Communities to Capital*. (Cambridge, MA: Joint Center for Housing Studies of Harvard University, 2013).
- \*M. Friere. *Urban Planning: Challenges in Developing Countries*. (Madrid: International Congress on Human Development, 2006).
- \*R. Zetter and R. White, eds., *Planning in Cities* (London: ITDG Publishing, 2002).
- \*B. Dalal-Clayton, D. Dent and O. Dubois, eds., *Rural Planning in Developing Countries* (London: Earthscan Publications Ltd., 2003).
- \*World Bank, "Dynamic Cities as Engines of Economic Growth," in *Entering the 21<sup>st</sup> Century: World Development Report 1999-2000*, (Washington, DC: World Bank, 2000, pp. 126-138).
- \*A. de Brauw, V. Mueller and H. Kim. "The Role of Rural-Urban Migration in the Structural Transformation of Sub-Saharan Africa." *World Development*, Vol. 63 (2014), pp. 33-42.
- \*M. Momen, "Synergistic Rural-Urban Development: The Experience of the Rural-Urban Partnership Program in Nepal." *International Development Planning Review*. Vol. 31 (2009), pp. 281-300).
- \*L. Christiansen and Y. Todo. "Poverty Reduction During Rural-Urban Transformation--The Role of the Missing Middle." *World Development*. Vol. 63 (2014), pp. 43-58.
- \*M. Balbo. "Beyond the City of Developing Countries: The New Urban Order of the Emerging City." *Planning Theory*. Vol. 13 (2014), pp. 269-287.
- \*B. Stiftel. "Planners and the New Urban Agenda: Will We Lead the Agenda, or Will the Agenda Lead Us?" *Town Planning Review*. Vol. 92 (2021), pp. 422-441.



## **II. DECENTRALIZATION AND THE TRANSFORMATION OF MULTI-LEVEL PLANNING AND FINANCING SYSTEMS (February 5-26)**

### **1. Decentralization and Local Governments (February 5)**

*This session provides an overview of the theory and practice of public sector decentralization and the roles of local governments in development planning in the context of diverse and often complex multi-actor environments. Selected country cases are introduced to illustrate the differences in the structure and significance of decentralization in the developing world.*

#### ***Primary Readings***

- \*J. Boex and S. Yilmaz, *An Analytical Framework for Assessing Decentralized Local Governance and the Local Public Sector*. (Washington, DC: The Urban Institute, 2010).
- \*P. Smoke. "Rethinking Decentralization: Assessing Challenges to a Popular Public Sector Reform." *Public Administration and Development*. Vol. 35 (2015), pp. 97-112.
- \*M. Nadeem. "Analyzing Good Governance and Decentralization in Developing Countries." *Journal of Political Sciences and Public Affairs*, Vol. 4 (2016).
- \*J. P. Faguet. "Decentralization and Governance." *World Development*. Vol. 53 (2014), pp. 2-13.
- \*V. Beard, F. Mirafteb and C. Silver. *Planning and Decentralization: Contested Spaces for Public Action in the Global South*. (London: Routledge, 2008), Chapter 1.

#### ***Supplementary Readings***

- G.S. Cheema and D. Rondinelli, eds. *Decentralizing Governance: Emerging Concepts and Practices*. (Washington, DC: Brookings, 2007).
- E. Connerley, K. Eaton and P. Smoke, eds. *Making Decentralization Work: Democracy, Development and Security* (Boulder, CO: Lynne Rienner Publishers, 2010).
- V. Beard, F. Mirafteb and C. Silver. *Planning and Decentralization: Contested Spaces for Public Action in the Global South*. (London: Routledge, 2008).
- J. Rodden and E. Wibbels, eds. *Decentralized Governance and Accountability: Academic Research and the Future of Donor Programming*. Cambridge: Cambridge University Press, 2019
- J. Martinez-Vazquez. *Adapting Fiscal Decentralization Design to Combat Climate Change*. Washington, DC: The World Bank, 2021.
- P. Smoke and M. Cook. *Administrative Decentralization and Climate Change: Concepts, Experience and Action*. Washington, DC: The World Bank, 2022.

### **2. Multilevel and Local/Urban Planning: Institutional Design and Reality (February 12)**

*This session examines the ways in which local and urban governments are embedded in larger national institutional and fiscal structures and considers how this affects their ability to create and implement development plans. Given the great institutional variation across counties, we briefly consider selected cases to illustrate a range of intergovernmental arrangements for development planning and delivering public functions.*



### **Primary Readings**

- \*L. Hopkins and E. Alexander, "Planning in Complex Multi-organizational Systems," *Journal of Planning Education and Research*, Vol. 28 (2009), pp. 470-475.
- \*L. Romeo and P. Smoke, "The Political Economy of Local Infrastructure Planning." In. J. Frank and J. Martinez-Vazquez, eds. *Decentralization and Infrastructure*. (London: Routledge, 2018).
- \*A. Faludi. "Multi-Level (Territorial) Governance: Three Criticisms," *Planning Theory & Practice*, Vol. 13 (2012), No. 2, pp.197-211.
- \*R. Matte. "Bureaucratic Structures and Organizational Performance: A Comparative Study of Kampala Capital City Authority and National Planning Authority" *Journal of Public Administration and Policy Research*, Vol 9 (2017), pp. 1-16
- \*R. Acheampong and A. Ibrahim. "One Nation, Two Planning Systems? Spatial Planning and Multi-Level Policy Integration in Ghana: Mechanisms, Challenges and the Way Forward." *Urban Forum*, Vol. 27 (2016), pp. 1-18.

### **Supplementary Readings**

- \*C. Charbit. *Governance of Public Policies in Decentralized Contexts: The Multi-Level Approach*. Paris: OECD, 2011.
- \*C. Leuprecht and H. Lazar. "From Multi-level to Multi-order Governance?" In H. Lazar and C. Leuprecht, eds. *Spheres of Governance: Comparative Studies of Cities in Multilevel Governance Systems*. (Montreal: McGill-Queens University Press, 2007, pp. 1-22).
- \*E. Alexander, "Institutional Transformation and Planning: From Institutionalization Theory to Institutional Design." *Planning Theory*. Vol. 4 (2005), pp. 209-223.
- \*P. Filion and C. Sanderson. "The Impact of Organizational Crafting on Planning," *Planning Theory & Practice*, Vol. 12 (2011), No.1, pp. 77-94.
- \*V. Watson. "Co-production and Collaboration in Planning--the Difference." *Planning Theory and Practice*. Vol. 15 (2014), pp. 62-76.
- \*A. Faludi. "Declaration of Interdependence." *Planning Theory and Practice*. Vol. 23 (2022), pp.145-156.

### **3. Urban and Metropolitan Governance and Finance (February 26)**

*This session reviews the structure of governance in urban and metropolitan areas, which varies greatly across countries in ways that have major implications for the ability of local governments to plan. We also consider how urban and metropolitan governments are financed, which can support or constrain plan implementation and the management of resulting infrastructure.*

### **Primary Readings**

- \*M. Andersson. *Unpacking Metropolitan Governance for Sustainable Development*. (Bonn: Deutsche Gesellschaft für Internationale Zusammenarbeit/GIZ, 2015), **(Focus pp. 13-41)**.
- \*P. Smoke. "Urban Finance: Strengthening an Overlooked Foundation of Urban Planning." In V. Watson, G. Bhan and S. Srinivas, eds. *Planning in the Global South*. (London: Routledge, 2019).

- \*R. Bahl and J. Linn. *Governing and Financing Cities in the Developing World*. (Cambridge, MA: Lincoln Institute of Land Policy, 2014), **(Focus on pages 11-23)**.
- \*N. da Cruz, P. Rode and M. McQuarrie. “New Urban Governance: A Review of Current Themes and Future Priorities,” *Journal of Urban Affairs*, Vol. 41 (2019), pp. 1-19,
- \*UN-Habitat. *The Challenge of Local Government Financing in Developing Countries*. (Nairobi: UN-Habitat, 2015), **(Read introduction and skim chapters of interest)**

### *Supplementary Readings*

- \*World Bank. *Planning, Connecting, and Financing Cities—Now*. Washington, DC: World Bank, 2013).
- \*United Cities and Local Governments. *Comparative Study on Metropolitan Governance*. Barcelona: United Cities and Local Governments, 2014).
- \*R. Ahrend, C. Gamper, and A. Schumann, “The OECD Metropolitan Governance Survey: A Quantitative Description of Governance Structures in large Urban Agglomerations”, *OECD Regional Development Working Papers*, 2014/04 (Paris: OECD, 2014).
- J. Clingermayer and R. Feiock. *Institutional Constraints and Policy Choice: An Exploration of Local Governance*. (Albany, NY: SUNY Press, 2014).
- \*R. Bahl, J. Linn and D. Wetzel, eds. *Financing Metropolitan Governments in Developing Countries*. (Cambridge, MA: Lincoln Institute of Land Policy, 2013).
- R. Feiock. *Metropolitan Governance: Conflict, Competition, and Cooperation*. (Washington, DC: Georgetown University Press, 2004).
- \*J. Keenan, E. Chu and J. Peterson. “From Funding to Financing: Perspectives Shaping a Research Agenda for Investment in Urban Climate Adaptation.” *International Journal of Urban Sustainable Development*. Vol. 11 (2019), pp. 297-308.

## **III. STRATEGIC INTEGRATED DEVELOPMENT PLANNING AND BUILDING PLANNING SYSTEMS (March 4-11)**

### **1. Strategic Integrated Development Planning (March 4)**

*This session reviews the movement towards subnational planning that strategically integrate spatial, socioeconomic and environmental planning. We highlight needed policy decision about the territorial scale of planning, planning processes, multi-level coordination; and national oversight and support. We conclude with an overview of an emerging synthetic territorial approach to local development.*

#### ***Primary Readings***

- \*J. Bryson, "A Strategic Planning Process for Public and Non-profit Organizations," *Long Range Planning*, Volume 21 (1988), No. 1, pp. 73-81.
- \*UCLG. *Policy Paper on Urban Strategic Planning*, Barcelona: United Cities and Local Governments, 2019. **(Focus on pp. 7-22 and skim regional chapters as desired)**
- \*A. Todes. “New Directions in Spatial Planning? Linking Strategic Spatial Planning and Infrastructure Development.” *Journal of Planning Education and Research*. Vol. 32 (2012), pp. 400-414.

- \*\* Afesis-corporation. [What is an Integrated Development Plan?](#) (short video) (East London, South Africa: Local Government Action). More information at [Afesis-corporation website](#).
- \*F. Wüst. “The South African IDP and SDF Contextualized in Relation to Global Conceptions of Forward Planning.” *Town and Regional Planning*, Vol. 80 (2022), pp. 54-65
- \*L. Cirolia and S. Berrisford. “Negotiated Planning: Diverse Trajectories of Implementation in Nairobi, Addis Ababa and Harare.” *Habitat International*. Vol. 59 (2017), pp. 71-79. |

### **Supplementary Readings**

- \*J. Bryson and L. Edwards, “Strategic Planning in the Public Sector.” *Oxford Research Encyclopedia of Business and Management*. Oxford: Oxford University Press, 2018.
- \*UN-Habitat. *Inclusive and Sustainable Urban Planning: A Guide for Municipalities; Vol. 1: An Introduction to Urban Strategic Planning*. Nairobi: UN-Habitat, 2007.
- \*M. Spaliviero et.al. “Spatial Development Framework to Facilitate Urban Management in Countries with Weak Planning Systems.” *International Planning Studies*, Vol.24 (2019), pp. 235-254
- \*A. Todes, et al., "Beyond Master Planning? New Approaches to Spatial Planning in Ekurhuleni, South Africa." *Habitat International*. Vol. 34 (2010) pp. 414-420.
- \*F. Hallia. "Preparation and Implementation of a General Planning Scheme in Tanzania: Kshama Strategic Urban Development Planning Framework." *Habitat International*, Vol. 26 (2002), pp. 281–293
- \*A. Rodríguez-Pose, E.I. Palavicini-Corona, “Does Local Economic Development Really Work? Assessing LED across Mexican Municipalities”, *Geoforum*, Vol. 44 (2013) 303–315.
- R. de Graaf. “Applying the Lessons of Strategic Urban Planning Learned in the Developing World to the Netherlands.” *Habitat International*, Volume 34 (2010), pp. 471-477.
- \*UN-Habitat. *Planning Sustainable Cities*. London: Earthscan, 2009.
- \*UN-Habitat. *International Guidelines on Urban and Territorial Planning*. Nairobi: Un-Habitat, 2015.
- \*T. DiNapoli. *Local Government Management Guide: Strategic Planning*. Albany, NY: Office of the New York State Controller, 2003.
- \*United Nations ECA, *Spatial Planning: Key Instrument for Development and Effective Governance..* Geneva: UN Economic Commission for Europe, 2008.

## **2. Building Development Planning Systems (March 11)**

*This session reviews the evolution of approaches used by developing countries and international development partners to build local development planning systems, governance and capacity. We link these approaches to broader international donor agency support for decentralization. **There is more reading than usual and all are marked as priorities, but you only need to skim the materials to get a sense of the approaches outlined in each of the readings.***

### **Primary Readings**

- \*J. Tendler, “Why Are Social Funds so Popular?” in S. Yusuf, W. Wu, and S. Evenett, eds. *Local Dynamics in an Era of Globalization.* (Oxford: Oxford University Press, 2000), pp. 114-129.

- \*S. Wong. *What Have Been the Impacts of World Bank Community-Driven Development Programs?* Washington, DC: The World Bank, 2012, pp. 41-53.
- \*T. Land and V. Hauck, *Building Coherence between Sector Reforms and Decentralization: Do SWAPs provide the Missing Link?* Maastricht: European Center for Development Policy Management, 2003.
- \*UNCDF, *Delivering the Goods: Building Local Government Capacity to Achieve the MDGs*. New York, NY: UN Capital Development Fund, 2005, Chapters 1-3.
- \*\*Cities Alliance. [City Development Strategies 2.0: Cities Growing with Vision](#). (short video). Washington, DC: Cities Alliance, 2018. (More CDS resources in below)
- \*UNCDF. *Financing Local Responses to Climate Change: Implications of Decentralization*. New York, NY: UN Capital Development Fund, 2014.
- \*L. Romeo, *A Territorial Approach to Local Development (TALD)*. Brussels: European Commission DEVCO-B2, 2014, Chapters 1-3.

### **Supplementary Readings**

- \*H. Binswanger-Mkhize, et. al. *Scaling Up Local and Community Development: A Real-World Guide to Its Theory and Practice*. Washington, DC: World Bank, 2009, pp. 1-16.
- \*M. Bhatia. *Social Funds: A Review of Public Sector Management and Institutional Issues*. Washington, DC: The World Bank, 2005.
- \*L. Helling, R. Serrano and D. Warren. *Linking Community Empowerment, Decentralized Governance and Service Delivery through a Local Development Framework*. Washington, DC: The World Bank, 2005.
- \*UNCDF *Taking Risks*. New York, NY: United Nations Capital Development Fund, 1999. (More on UNCDF urban activities: <http://www.uncdf.org/local-development-finance>)
- \*J. Beall, “Local Funds and Development,” in *Funding Local Governance*. London: ITDG Publishing, 2005, pp. 3-19.
- \*D. Robertson et. al. *Local Development Funds in Myanmar: An Initial Review*. Yangon: Myanmar Development Resource Institute, 2015.
- \*K. Wignaraja, ed. *Supporting Capacities for Integrated Local Development*. New York, NY: UNDP, 2007.
- \*UN-Habitat. *Economic Foundations for Sustainable Urbanization: A Three-Pronged Approach*. Nairobi: UN-Habitat, 2017. More on UN-Habitat urban activities: <https://unhabitat.org/topic/urban-planning>
- \*World Bank, *Systems of Cities: Harnessing Urbanization for Growth and Poverty Alleviation* (World Bank Urban Strategy). Washington, DC: World Bank, 2009.
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